

SOC ASSESSMENT TEMPLATE FOR REVIEW PANELS

Project Name	
Date of Review & Assessment	
Review Carried out by: (delete as appropriate)	LA Lead / HoED / Thematic Board / Review Panel / Finance Directors Group
Project Recommended to Proceed (Y/N)	

Confidence Rating		
High	3	Meets or exceeds requirement
Medium	2	Partially meets requirement / Further work to do to meet requirement
Low	1	Does not meet requirement

	Mandatory Pre-Qualification Eligibility Criteria	Confidence	Comment
A.1	Project costs must be for capital		
A.2	Project must be in excess of £5m		
A.3	Project must have a realistic schedule to be complete / operational by December 2029 (key milestones)		
A.4	Project must be strategic and have a regional economic impact, contributing to Regional Economic Strategy, local, regional and national strategies		
A.5	Strategic Outline Case provides an overview of current situation and explains why there is a need for change		
B.	Project Deliverability Risk Assessment		
B.1	Risk that Subsidy Control issues will arise		
B.2	Risk that land ownership issues will arise		

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B.3	Risk that planning permission / transport regulation orders / other required statutory approvals (e.g. SEPA) will not be granted (site is in LDP, land is allocated to required use)		
B.4	Risk that technical / market issues (e.g. unexpected site conditions such as contamination/utility requirements cannot be met, technology not available) will arise		
B.5	Risk that demand for service/physical asset is not sufficient and benefit realisation will not be attained		
B.6	Risk that the organisation does not have the human resources (e.g. project management capacity) to oversee and implement project		
B.7	Risk presented by dependencies on other stakeholders e.g. ability to leverage required follow-on investment from development partners		
C	Strategic Alignment Assessment		
C.1	Supports a shift to net zero and growth in the circular economy.		
C.2	Deliver the culture and tourism commitments in the Tay Cities Region Deal and continue to raise the region's profile and reputation as a quality destination.		
C.3	Generating growth through innovation and its adoption.		
C.4	Drives digital connectivity and use of smart place technologies across the region.		

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C.5	Creates a sustainable and reliable inter and intra-regional transport network for business and freight and improve accessibility to jobs, education and other key destinations by public transport.		
C.6	Contributes to the delivery of the Tay Cities Regional Skills Action Plan.		
C.7	Contributes to the Scottish economy in one of the key industries for the region.		
D	Key Deal Outputs		
D.1	Has a clear Logic Chain Model been included in Strategic Outline Case?		
D.2	New Jobs		
D.3	Construction Jobs		
D.4	Jobs Protected		
D.5	Journey Time Savings		
D.6	Development Space Unlocked		
D.7	Training Places		
D.8	Visitors		
D.9	Start-Ups		
D.10	SMEs Supported		
D.11	Private Sector Investment		
D.12	Leverage		
E	Economic Impact and Financial Risk		
E.1	Financial Sustainability optimism bias, projections, running costs included post five years completion		
E.2	Benefits Cost Ratio*		

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E.3	Net Additional Gross Value Added at the Tay Region level		
E.4	Is Lead Organisation able to spend at risk?		
E.5	Have risks and dependencies related to spend been identified?		
E.6	Has anticipated drawdown profile been provided?		
F	Other Deal Requirements		
F.1	Has Carbon Categorisation completed and Carbon management considered?		
F.2	Has Project confirmed adherence to Fair Work First?		
F.3	Does Project demonstrate Inclusive Growth?		
F.4	Does the Project contribute to a reduction in child poverty within the region?		

** The Benefits Cost Ratio is not a requirement at SOC stage, however this must be included at OBC stage.*